

Form No. MGT-9

EXTRACT OF ANNUAL RETURN  
as on the Financial Year ended on 31<sup>st</sup> March, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

CIN	U65910MH1988PLC046447
Registration Date	08/03/1988
Name of the Company	SBI DFHI Limited
Category / Sub-Category of the Company	Public Company
Address of the Registered Office and contact details	Voltas House, 3 <sup>rd</sup> Floor, 23, J N Heredia Marg, Ballard Estate, Mumbai – 400001. Tel. No. (022) 22625970
Whether listed company	No
Name, Address and Contact details of Registrar and Transfer Agents	KFin Technologies Private Limited Tower B, Plot No. 31 and 32, Selenium Building, Gachibowli, Financial District, Hyderabad – 500032. Ph.: 040 - 67161700

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Primary Dealer in Government Securities	65910	99.99935





c) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(2):-	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	58,96,882	-	58,96,882	30.96%	58,96,882	-	58,96,882	30.96%	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	1,90,48,956	-	1,90,48,956	100.00 %	1,90,48,956	-	1,90,48,956	100.00 %	-

(ii) Shareholding of Promoters								
Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of total Shares of the Company	% of shares Pledge d/encumbered to total shares	No. of Shares	% of total Shares of the Company	% of shares Pledged/encumbered to total shares	% Change in shareholding during the year
1	STATE BANK OF INDIA	1,31,52,074	69.04%	Nil	1,31,52,074	69.04%	Nil	-
	Total	1,31,52,074	69.04%	Nil	1,31,52,074	69.04%	Nil	-

(iii) Change in Promoters Shareholding (Please specify, if there is no change)					
Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	1,31,52,074	69.04%	1,31,52,074	69.04%
2	Date wise Increase/Decrease	Nil	Nil	Nil	Nil

	in promoters shareholding during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/sweat equity etc)				
3	At the end of the year	1,31,52,074	69.04%	1,31,52,074	69.04%

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and holders of GDRs & ADRs):					
Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	<b>BANK OF BARODA</b>				
1	At the beginning of the year	3,45,413	1.81%	3,45,413	1.81%
2	Date wise Increase / Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment / transfer / bonus / sweat equity etc.)	75,626 *	0.40%	75,626 *	0.40%
3	At the end of the year (or on the date of separation, if separated during the year)	4,21,039	2.21%	4,21,039	2.21%

\* The above change in shareholding pattern took place with effect from 12<sup>th</sup> April, 2019 due to the amalgamation of one of our shareholders; Vijaya Bank with another shareholder of the Company, Bank of Baroda as on 01<sup>st</sup> April, 2019. The equity shares of our Company held by erstwhile Vijaya Bank is now vested with Bank of Baroda as per the “*Amalgamation of Vijaya Bank and Dena Bank with Bank of Baroda Scheme, 2019*” notified by Department of Financial Services, Ministry of Finance, Government of India vide Notification No.: G.S.R. 2 (E) dated 02<sup>nd</sup> January, 2019.

Note: There is no change in shareholdings of other shareholders of the Company, including the other top 10 shareholders of the Company other than the shareholder whose details has been given in the above table. Shareholding Pattern is given at the end of the Annual Return.

(v) Shareholding of Directors and Key Managerial Personnel:					
Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	For Each of the Directors and KMP				
2	At the beginning of the year	NIL	NIL	NIL	NIL
3	Date wise Increase / Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
4	At the end of the year	NIL	NIL	NIL	NIL

## V. INDEBTEDNESS

(Rs. In Crores)

Indebtedness of the Company including interest outstanding/accrued but not due for payment:				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	3453.83	2744.27	0	6,198.10
ii) Interest due but not paid	0	0	0	0.00
iii) Interest accrued but not due	2.72	4.84	0	7.56
Total (i+ii+iii)	3456.55	2749.11	0	6,205.66
Change in Indebtedness during the financial year				
Net Change	1,785.29	2,134.83	0.00	3,920.12

Indebtedness at the end of the financial year				
i) Principal Amount	5,240.23	4,872.52	0	10,112.75
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	1.61	11.42	0	13.03
Total (i+ii+iii)	5,241.84	4,883.94	0	10,125.78

#### VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager (in Rupees):			
Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager	Total Amount (Rs.)
		S. V. Sastry (MD & CEO)	
1	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	33,61,457	33,61,457
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	43,51,302	43,51,302
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission		
	- as % of Profit	-	-
	- Others, Specify	-	-
5	Others, please specify	-	-
	Total (A)	77,12,759	77,12,759

B. Remuneration to other Directors:

Sl. No.	Particulars of Remuneration	Name of Directors						Total Amount (Rs.)
	Independent Directors	N. Chandrasekaran	N. Raja	CA. C. M. Dixit	Smeeta Bhatkal	Dr. Hemanta Kumar Pradhan	Dr. Brinda Jagirdar	
	Fees for attending Board & Committee Meetings	2,20,000	5,10,000	4,55,000	2,15,000	5,55,000	1,60,000	21,15,000
	Commission	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Others	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Total (1)	2,20,000	5,10,000	4,55,000	2,15,000	5,55,000	1,60,000	21,15,000

Sl. No.	Particulars of Remuneration	Name of Directors		Total Amount (Rs.)
	Other Non-Executive Directors	Dinesh Kumar Khara		Hare Krishna Jena
	Fees for attending Board & Committee Meetings	Nil		Nil
	Commission	Nil		Nil
	Others	Nil		Nil
	Total (2)	Nil		Nil

	Total (B) = (1+2) Total Managerial Remuneration	21,15,000
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Remuneration to Key Managerial Personnel other than MD/Manager/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		
		Chief Financial Officer	Company Secretary	Total
		Moni N. S. S.	Sham K.	
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961	23,06,689	17,77,914	40,84,603
	(b) Value of	20,30,245	7,08,986	27,39,231



	perquisites u/s 17(2) of Income Tax Act, 1961			
	(c ) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission			
	- as % of Profit	-	-	-
	- Others, Specify..	-	-	-
5	Others, please specify	-	-	-
	Total	43,36,934	24,86,900	68,23,834

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:					
Type	Section of Companies Act	Brief Description	Details of Penalty/Punishment/ Compounding fees imposed	Authority (RD/NCLT/ COURT)	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL
<b>B. DIRECTORS</b>					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL

**Shareholding Pattern as on 31/03/2020**

<b>Shareholder</b>	<b>No.: of Equity Shares</b>	<b>Amount in Rupees</b>	<b>% age</b>
STATE BANK OF INDIA	1,31,52,074	1,31,52,07,400	69.04
SBI CAPITAL MARKETS LIMITED	5,95,295	5,95,29,500	3.13
<b>State Bank Group</b>	<b>1,37,47,369</b>	<b>1,37,47,36,900</b>	<b>72.17</b>
PUNJAB NATIONAL BANK	8,02,126	8,02,12,600	4.21
CENTRAL BANK OF INDIA	4,94,150	4,94,15,000	2.59
BANK OF INDIA	4,41,970	4,41,97,000	2.32
BANK OF BARODA	4,21,039	4,21,03,900	2.21
CANARA BANK	3,83,373	3,83,37,300	2.01
SYNDICATE BANK	2,97,368	2,97,36,800	1.56
INDIAN BANK	2,27,595	2,27,59,500	1.19
INDIAN OVERSEAS BANK	2,09,601	2,09,60,100	1.10
UCO BANK	1,99,577	1,99,57,700	1.05
UNITED BANK OF INDIA	1,76,128	1,76,12,800	0.92
BANK OF MAHARASHTRA	1,58,213	1,58,21,300	0.83
ANDHRA BANK	1,48,800	1,48,80,000	0.78
ALLAHABAD BANK	1,42,238	1,42,23,800	0.75
PUNJAB AND SIND BANK	1,21,860	1,21,86,000	0.64
CORPORATION BANK	68,443	68,44,300	0.36
<b>Public Sector Banks</b>	<b>42,92,481</b>	<b>42,92,48,100</b>	<b>22.53</b>
THE NEW INDIA ASSURANCE COMPANY LIMITED	1,53,798	1,53,79,800	0.81
GENERAL INSURANCE CORPORATION OF INDIA	1,32,038	1,32,03,800	0.69
UNITED INDIA INSURANCE COMPANY LIMITED	83,938	83,93,800	0.44
NATIONAL INSURANCE COMPANY LTD	72,383	72,38,300	0.38
THE ORIENTAL INSURANCE COMPANY LIMITED	65,608	65,60,800	0.34
<b>Insurance Companies</b>	<b>5,07,765</b>	<b>5,07,76,500</b>	<b>2.67</b>
ICICI BANK LTD	2,95,132	2,95,13,200	1.55
EXPORT- IMPORT BANK OF INDIA	1,69,626	1,69,62,600	0.89
IFCI LTD	36,583	36,58,300	0.19
<b>Private Bank / Financial Institutions</b>	<b>5,01,341</b>	<b>5,01,34,100</b>	<b>2.63</b>
<b>TOTAL</b>	<b>1,90,48,956</b>	<b>1,90,48,95,600</b>	<b>100.00</b>

A copy of the Annual Return has been hosted on the website of the Company: [www.sbidfhi.com](http://www.sbidfhi.com)