Form No. MGT-9

EXTRACT OF ANNUAL RETURN as on the Financial Year ended on 31st March, 2021

[Pursuant to Section 92(3) *of the Companies Act, 2013* and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

CIN	U65910MH1988PLC046447
Registration Date	08/03/1988
Name of the Company	SBI DFHI Limited
Category / Sub-Category of the Company	Public Company
Address of the Registered Office and contact	Voltas House, 3 rd Floor, 23, J N Heredia
details	Marg, Ballard Estate, Mumbai – 400001.
	Tel. No. (022) 22625970
Whether listed company	No
Name, Address and Contact details of	KFin Technologies Private Limited,
Registrar and Transfer Agents	Selenium, Tower B, Plot No.31-32,
	Gachibowli, Financial District,
	Nanakramguda, Hyderabad – 500032.
	Ph.: 040 - 67161700

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

Sl.	Name and Description of main	NIC Code of the	% to total turnover of the company
No.	products / services	Product/ service	
1	Primary Dealer in Government Securities	65910	99.99935

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name and Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1.	State Bank of India	N.A	Holding Company	69.04%	As per Section 2 (46) of the Companies Act, 2013

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

(i) Category-wis	e Share Holding								
Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of year				% Chan ge durin g the year
	Demat	Physi cal	Total	% of Total Shares	Demat	Phy sical	Total	% of Total Shares	
A Promoters									
(1) Indian									
a) Individual / HUF	-	-	-	-	-	-	-	-	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	-	-	-	-	-	-	-	-
e) Banks /FI	1,31,52,074	-	1,31,52,074	69.04%	1,31,52,074	-	1,31,52,074	69.04%	-
f) Any Other	-	-	-	-	-	-	-	-	-
Sub-total (A) (1)	1,31,52,074	-	1,31,52,074	69.04%	1,31,52,074	-	1,31,52,074	69.04%	-
(2) Foreign									
a) NRI Individuals	-	-	_	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	_	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e)Any other	-	-	-	-	-	-	-	-	-

Sub-total (A) (2)	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter (A) = (A)(1)+(A)(2)	1,31,52,074	-	1,31,52,074	69.04%	1,31,52,074	-	1,31,52,074	69.04%	-
B. Public Shareholding 1. Institutions	_	-	-	-		-	-	-	-
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks /FI	47,93,822	-	47,93,822	25.16%	47,93,822	-	47,93,822	25.16%	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
e) Venture Capital funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	5,07,765	-	5,07,765	2.67%	5,07,765	-	5,07,765	2.67%	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (Bodies Corp.)	5,95,295	-	5,95,295	3.13%	5,95,295	-	5,95,295	3.13%	-
Sub-total (B) (1):-	58,96,882	-	58,96,882	30.96%	58,96,882	-	58,96,882	30.96%	-
2. Non- Institutions									
a) Bodies Corp.	-	-	-	-	-	-	-	-	-
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	_	_	_	_	_	_	_	_	_
i) Individuals i) Individual Shareholders holding nominal share capital upto Rs.1 lakh	-	-	-	-		-	-	-	-
ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	-	-	-	-	-	-	-	-	-

c) Others (specify)	_	-	-	-	_	-	-	-	-
Sub-total (B) (2):-	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+ (B)(2)	58,96,882	-	58,96,882	30.96%	58,96,882		58,96,882	30.96%	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	1,90,48,956	-	1,90,48,956	100.00 %	1,90,48,956	-	1,90,48,956	100.00 %	-

(ii) S	hareholding of	f Promoters						
Sl.	Shareholde	Shareholding	at the begin	nning of	Shareholding at the end of the year			
No.	r's Name	t	he year	-		-		
			% of total Shares of the	% of shares Pledge d/encu mbered		% of total Shares of the	% of shares Pledged/enc	% Change in sharehold ing
		No. of	Compan	to total	No. of	Compan	umbered to	during
	STATE	Shares	У	shares	Shares	У	total shares	the year
1	BANK OF INDIA	1,31,52,074	69.04%	Nil	1,31,52,074	69.04%	Nil	-
	Total	1,31,52,074	69.04%	Nil	1,31,52,074	69.04%	Nil	-

(iii) C	(iii) Change in Promoters Shareholding (Please specify, if there is no change)						
S1.	Shareholding at the beginning			Cumulative Shareholding during			
No.		of the year		the year			
	STATE BANK OF INDIA	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company		
1	At the beginning of the year	1,31,52,074	69.04%	1,31,52,074	69.04%		
2	Date wise Increase/Decrease	Nil	Nil	Nil	Nil		

	in promoters shareholding during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/swea t equity etc)				
3	At the end of the year	1,31,52,074	69.04%	1,31,52,074	69.04%

. ,	(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and holders of GDRs & ADRs):						
Sl. No.		Shareholding at the of the year	beginning	Cumulative Shareh the year	olding during		
1	For Each of the Top 10 Shareholders	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company		
2	At the beginning of the year	As per Shareholding Pattern attached					
3	Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/swea t equity etc)		ľ	NIL			
4	At the end of the year (or on the date of separation, if separated during the year)						

(v) Sha	reholding of Directors and I	Key Managerial	Personnel:	-		
Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year		
1	For Each of the Directors and KMP	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company	
2	At the beginning of the year	NIL	NIL	NIL	NIL	
3	Date wise Increase / Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL	
4	At the end of the year	NIL	NIL	NIL	NIL	

V. INDEBTEDNESS

(Rs. in Crores)

Indebtedness of th	e Company including	interest outstanding/accr	rued but not	due for payment:
	Secured Loans			
	excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at				
the beginning of				
the financial				
year				
i) Principal	5240.23	4872.52		10112.75
Amount	5240.25	4872.32		10112.75
ii) Interest due				
but not paid				
iii) Interest				
accrued but not	1.61	11.42		13.03
due				
Total (i+ii+iii)	5241.84	4883.94		10125.78
Change in				
Indebtedness				
during the				
financial year				
Net Change	-1559.63	-2.62		-1562.25
Indebtedness at				
the end of the				
financial year				
i) Principal	3681.87	4874.77		8556.64
Amount	5001.07	4074.77		0550.04
ii) Interest due				
but not paid				
iii) Interest				
accrued but not	0.34	6.55		6.89
due				
Total (i+ii+iii)				
	3682.21	4881.32		8563.53

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

	A. Remuneration to Managing Director, Who Rupees):	le-time Directors	and/or Manage	r (in
Sl. No.	Particulars of Remuneration	Name of MD/V	Total Amount (Rs.)	
		S. V. Sastry	K.Srihar	
		(MD & CEO	(MD& CEO	
		upto 15.5.2020)	from 29.06.2020)	
1	Gross Salary	,	í í í	
	(a) Salary as per provisions contained in			
	Section 17(1) of the Income Tax Act, 1961	6,03,902	33,11,174	39,15,076
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	14,53,092	6,11,412	20,64,504
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission		-	
	- as % of Profit	-	-	-
	- Others, Specify	-	-	-
5	Others, please specify	-	-	-
	Total (A)	20,56,944	39,22,586	59,79,580

B. Remuneration to other Directors:

Sl. No.	Particulars of Remuneration	Name of Independent Directors				
	Independent Directors	N.Raja	C.M.Dixit	Dr. H.K. Pradhan	Dr.Brinda Jagirdar	Total Amount (Rs.)
1	Fees for attending Board & Committee Meetings	4,80,000	5,20,000	5,20,000	5,20,000	20,40,000
2	Commission	Nil	Nil	Nil	Nil	Nil
3	Others	Nil	Nil	Nil	Nil	Nil
	Total (1)	4,80,000	5,20,000	5,20,000	5,20,000	20,40,000

Sl. No.	Particulars of Remuneration	Name of Directors			Total Amount (Rs.)
	Other Non-Executive Directors	Dinesh Kumar Khara	H.K.Jena (upto 31.05.2020)	S.V.Sastry (from 27.07.2020)	
	Fees for attending Board & Committee Meetings	Nil	Nil	Nil	Nil
	Commission	Nil	Nil	Nil	Nil
	Others	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil	Nil

Total(B) = (1+2)	20,40,000
Total Managerial Remuneration	20,40,000

Remuneration to Key Managerial Personnel other than MD/Manager/WTD

Sl.	Particulars of	Key Managerial Personnel			
No.	Remuneration				
		Chief Financial Officer	Company Secretary		Total
		Moni N. S. S.	Sham K.	Sunil Kudale	
1	Gross Salary				
	(a) Salary as per				
	provisions				
	contained in	24,76,275	7,74,049	14,76,173	47,26,497
	Section 17(1) of				
	Income Tax Act,				
	1961				
	(b) Value of				
	perquisites u/s	18,33,629	5,73,640	2,28,653	26,35,922
	17(2) of Income				
	Tax Act, 1961				
	(c) Profits in				
	lieu of Salary				
	under section	-	-	-	-
	17(3) of Income				
	Tax Act, 1961				
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission				
	- as % of	-	-	-	-
	Profit				
	- Others,	-	-	-	_
	Specify				
	Others, please	_	-	-	_
5	specify				
	Total	43,09,904	13,47,689	17,04,826	73,62,419

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:							
Туре	Section of Companies Act	Brief Description	Details of Penalty/Punishment/ Compounding fees imposed	Authority (RD/NCLT/ COURT)	Appeal made, if any (give Details)		
A. COMPANY							
Penalty	NIL	NIL	NIL	NIL	NIL		
Punishment	NIL	NIL	NIL	NIL	NIL		
Compounding	NIL	NIL	NIL	NIL	NIL		
B. DIRECTORS	B. DIRECTORS						
Penalty	NIL	NIL	NIL	NIL	NIL		
Punishment	NIL	NIL	NIL	NIL	NIL		
Compounding	NIL	NIL	NIL	NIL	NIL		
C. OTHER OFFICERS IN DEFAULT							
Penalty	NIL	NIL	NIL	NIL	NIL		
Punishment	NIL	NIL	NIL	NIL	NIL		
Compounding	NIL	NIL	NIL	NIL	NIL		

Shareholding Pattern as on 31/03/2021

Shareholder	Number of Equity Shares	Amount in Rupees	(%)
STATE BANK OF INDIA	13,152,074	1,315,207,400	69.04
SBI CAPITAL MARKETS LIMITED	595,295	59,529,500	3.13
State Bank Group	13,747,369	1,374,736,900	72.17
PUNJAB NATIONAL BANK	978254	97,825,400	5.14
CANARA BANK	6,80,741	6,80,74,100	3.57
CENTRAL BANK OF INDIA	494,150	49,415,000	2.59
BANK OF INDIA	441,970	44,197,000	2.32
BANK OF BARODA	421,039	42,103,900	2.21
INDIAN BANK	3,69,833	3,69,83,300	1.94
UNION BANK OF INDIA	2,17,243	2,17,24,300	1.14
INDIAN OVERSEAS BANK	209,601	2,09,60,100	1.10
UCO BANK	199,577	1,99,57,700	1.05
BANK OF MAHARASHTRA	158,213	1,58,21,300	0.83
PUNJAB & SIND BANK	1,21,860	1,21,86,000	0.64
Public Sector Banks	4,292,481	429,248,100	22.53
ICICI BANK LTD	295,132	29,513,200	1.55
EXPORT- IMPORT BANK OF INDIA	169,626	16,962,600	0.89
THE NEW INDIA ASSURANCE COMPANY LIMITED	153,798	15,379,800	0.81
GENERAL INSURANCE CORPORATION OF INDIA	132,038	13,203,800	0.69
UNITED INDIA INSURANCE COMPANY LIMITED	83,938	8,393,800	0.44
NATIONAL INSURANCE COMPANY LTD	72,383	7,238,300	0.39
THE ORIENTAL INSURANCE COMPANY LIMITED	65,608	6,560,800	0.34
IFCI LTD	36,583	3,658,300	0.19
Financial Institutions / Insurance Companies	1,009,106	100,910,600	5.30
TOTAL	19,048,956	1,904,895,600	100.00

A copy of the Annual Return has been hosted on the website of the Company: www.sbidfhi.com