

Form No. MGT-9

EXTRACT OF ANNUAL RETURN
as on the Financial Year ended on 31st March, 2022

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

| | |
|--|--|
| CIN | U65910MH1988PLC046447 |
| Registration Date | 08/03/1988 |
| Name of the Company | SBI DFHI Limited |
| Category / Sub-Category of the Company | Public Company |
| Address of the Registered Office and contact details | Mistry Bhavan, 5 th floor, 122, Dinshaw Vaccha Road, Churchgate, Mumbai – 400020. Tel. No. (022) 69334415 |
| Whether listed company | No |
| Name, Address and Contact details of Registrar and Transfer Agents | KFin Technologies Private Limited, Selenium, Tower B, Plot No.31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500032. Ph.: 040 - 67161700 |

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

| Sl. No. | Name and Description of main products / services | NIC Code of the Product/ service | % to total turnover of the company |
|---------|--|----------------------------------|------------------------------------|
| 1 | Primary Dealer in Government Securities | 65910 | 99.99935 |

| | | | | | | | | | |
|---|-------------|---|-------------|---------|-------------|---|-------------|---------|---|
| c) Others (specify) | - | - | - | - | - | - | - | - | - |
| Sub-total (B)(2):- | - | - | - | - | - | - | - | - | - |
| Total Public Shareholding (B)=(B)(1)+(B)(2) | 58,96,882 | - | 58,96,882 | 30.96% | 58,96,882 | - | 58,96,882 | 30.96% | - |
| C. Shares held by Custodian for GDRs & ADRs | - | - | - | - | - | - | - | - | - |
| Grand Total (A+B+C) | 1,90,48,956 | - | 1,90,48,956 | 100.00% | 1,90,48,956 | - | 1,90,48,956 | 100.00% | - |

| (ii) Shareholding of Promoters | | | | | | | | |
|--------------------------------|---------------------|---|----------------------------------|--|-------------------------------------|----------------------------------|--|--|
| Sl. No. | Shareholder's Name | Shareholding at the beginning of the year | | | Shareholding at the end of the year | | | |
| | | No. of Shares | % of total Shares of the Company | % of shares Pledged/encumbered to total shares | No. of Shares | % of total Shares of the Company | % of shares Pledged/encumbered to total shares | % Change in shareholding during the year |
| 1 | STATE BANK OF INDIA | 1,31,52,074 | 69.04% | Nil | 1,31,52,074 | 69.04% | Nil | - |
| | Total | 1,31,52,074 | 69.04% | Nil | 1,31,52,074 | 69.04% | Nil | - |

| (iii) Change in Promoters Shareholding (Please specify, if there is no change) | | | | | |
|--|------------------------------|---|----------------------------------|---|----------------------------------|
| Sl. No. | | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 1 | At the beginning of the year | 1,31,52,074 | 69.04% | 1,31,52,074 | 69.04% |
| 2 | Date wise Increase/Decrease | Nil | Nil | Nil | Nil |

| | | | | | |
|---|--|-------------|--------|-------------|--------|
| | in promoters shareholding during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/sweat equity etc) | | | | |
| 3 | At the end of the year | 1,31,52,074 | 69.04% | 1,31,52,074 | 69.04% |

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and holders of GDRs & ADRs):

| Sl. No. | | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|---------|--|---|----------------------------------|---|----------------------------------|
| 1 | For Each of the Top 10 Shareholders | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 2 | At the beginning of the year | As per Shareholding Pattern attached | | | |
| 3 | Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/sweat equity etc) | NIL | | | |
| 4 | At the end of the year (or on the date of separation, if separated during the year) | As per Shareholding Pattern attached | | | |

(v) Shareholding of Directors and Key Managerial Personnel:

| Sl. No. | | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|---------|---|---|----------------------------------|---|----------------------------------|
| 1 | For Each of the Directors and KMP | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 2 | At the beginning of the year | NIL | NIL | NIL | NIL |
| 3 | Date wise Increase / Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment / transfer / bonus / sweat equity etc.) | NIL | NIL | NIL | NIL |
| 4 | At the end of the year | NIL | NIL | NIL | NIL |

V. INDEBTEDNESS

(Rs. in Crores)

| Indebtedness of the Company including interest outstanding/accrued but not due for payment: | | | | |
|---|-------------------------------------|-----------------|----------|--------------------|
| | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
| Indebtedness at the beginning of the financial year | | | | |
| i) Principal Amount | 5240.23 | 4872.52 | -- | 10112.75 |
| ii) Interest due but not paid | -- | -- | -- | -- |
| iii) Interest accrued but not due | 1.61 | 11.42 | -- | 13.03 |
| Total (i+ii+iii) | 5241.84 | 4883.94 | -- | 10125.78 |
| Change in Indebtedness during the financial year | | | | |
| Net Change | -1559.63 | -2.62 | -- | -1562.25 |
| Indebtedness at the end of the financial year | | | | |
| i) Principal Amount | 3681.87 | 4874.77 | -- | 8556.64 |
| ii) Interest due but not paid | -- | -- | -- | -- |
| iii) Interest accrued but not due | 0.34 | 6.55 | -- | 6.89 |
| Total (i+ii+iii) | 3682.21 | 4881.32 | -- | 8563.53 |

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

| A. Remuneration to Managing Director, Whole-time Directors and/or Manager (in Rupees): | | | | |
|--|---|--|---------------------------------------|--------------------|
| Sl. No. | Particulars of Remuneration | Name of MD/WTD/Manager | | Total Amount (Rs.) |
| | | S. V. Sastry (MD & CEO upto 15.5.2020) | K.Srihar (MD& CEO from 29.06.2020) | |
| 1 | Gross Salary | | | |
| | (a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961 | 6,03,902 | 33,11,174 | 39,15,076 |
| | (b) Value of perquisites u/s 17(2) of Income Tax Act, 1961 | 14,53,092 | 6,11,412 | 20,64,504 |
| | (c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961 | - | - | - |
| 2 | Stock Option | - | - | - |
| 3 | Sweat Equity | - | - | - |
| 4 | Commission | | - | |
| | - as % of Profit | - | - | - |
| | - Others, Specify | - | - | - |
| 5 | Others, please specify | - | - | - |
| | Total (A) | 20,56,944 | 39,22,586 | 59,79,580 |

B. Remuneration to other Directors:

| Sl. No. | Particulars of Remuneration | Name of Independent Directors | | | | Total Amount (Rs.) |
|---------|---|-------------------------------|-----------|------------------|--------------------|--------------------|
| | Independent Directors | N.Raja | C.M.Dixit | Dr. H.K. Pradhan | Dr.Brinda Jagirdar | |
| 1 | Fees for attending Board & Committee Meetings | 4,80,000 | 5,20,000 | 5,20,000 | 5,20,000 | 20,40,000 |
| 2 | Commission | Nil | Nil | Nil | Nil | Nil |
| 3 | Others | Nil | Nil | Nil | Nil | Nil |
| | Total (1) | 4,80,000 | 5,20,000 | 5,20,000 | 5,20,000 | 20,40,000 |

| Sl. No. | Particulars of Remuneration | Name of Directors | | | Total Amount (Rs.) |
|---------|---|--------------------|----------------------------|------------------------------|--------------------|
| | Other Non-Executive Directors | Dinesh Kumar Khara | H.K.Jena (upto 31.05.2020) | S.V.Sastry (from 27.07.2020) | |
| | Fees for attending Board & Committee Meetings | Nil | Nil | Nil | Nil |
| | Commission | Nil | Nil | Nil | Nil |
| | Others | Nil | Nil | Nil | Nil |
| | Total (2) | Nil | Nil | Nil | Nil |

| | |
|-------------------------------|-----------|
| Total (B) = (1+2) | 20,40,000 |
| Total Managerial Remuneration | |

Remuneration to Key Managerial Personnel other than MD/Manager/WTD

| Sl. No. | Particulars of Remuneration | Key Managerial Personnel | | | |
|---------|---|--------------------------|-------------------|--------------|-----------|
| | | Chief Financial Officer | Company Secretary | | Total |
| | | Moni N. S. S. | Sham K. | Sunil Kudale | |
| 1 | Gross Salary | | | | |
| | (a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961 | 24,76,275 | 7,74,049 | 14,76,173 | 47,26,497 |
| | (b) Value of perquisites u/s 17(2) of Income Tax Act, 1961 | 18,33,629 | 5,73,640 | 2,28,653 | 26,35,922 |
| | (c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961 | - | - | - | - |
| 2 | Stock Option | - | - | - | - |
| 3 | Sweat Equity | - | - | - | - |
| 4 | Commission | | | | |
| | - as % of Profit | - | - | - | - |
| | - Others, Specify.. | - | - | - | - |
| 5 | Others, please specify | - | - | - | - |
| | Total | 43,09,904 | 13,47,689 | 17,04,826 | 73,62,419 |

| VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES: | | | | | |
|--|--------------------------|-------------------|---|----------------------------|------------------------------------|
| Type | Section of Companies Act | Brief Description | Details of Penalty/Punishment/ Compounding fees imposed | Authority (RD/NCLT/ COURT) | Appeal made, if any (give Details) |
| A. COMPANY | | | | | |
| Penalty | NIL | NIL | NIL | NIL | NIL |
| Punishment | NIL | NIL | NIL | NIL | NIL |
| Compounding | NIL | NIL | NIL | NIL | NIL |
| B. DIRECTORS | | | | | |
| Penalty | NIL | NIL | NIL | NIL | NIL |
| Punishment | NIL | NIL | NIL | NIL | NIL |
| Compounding | NIL | NIL | NIL | NIL | NIL |
| C. OTHER OFFICERS IN DEFAULT | | | | | |
| Penalty | NIL | NIL | NIL | NIL | NIL |
| Punishment | NIL | NIL | NIL | NIL | NIL |
| Compounding | NIL | NIL | NIL | NIL | NIL |

Shareholding Pattern as on 31/03/2022

| Shareholder | Number of Equity Shares | Amount in Rupees | (%) |
|---|-------------------------|----------------------|---------------|
| STATE BANK OF INDIA | 13,152,074 | 1,315,207,400 | 69.04 |
| SBI CAPITAL MARKETS LIMITED | 595,295 | 59,529,500 | 3.13 |
| State Bank Group | 13,747,369 | 1,374,736,900 | 72.17 |
| PUNJAB NATIONAL BANK | 978254 | 97,825,400 | 5.14 |
| CANARA BANK | 6,80,741 | 6,80,74,100 | 3.57 |
| CENTRAL BANK OF INDIA | 494,150 | 49,415,000 | 2.59 |
| BANK OF INDIA | 441,970 | 44,197,000 | 2.32 |
| BANK OF BARODA | 421,039 | 42,103,900 | 2.21 |
| INDIAN BANK | 3,69,833 | 3,69,83,300 | 1.94 |
| UNION BANK OF INDIA | 2,17,243 | 2,17,24,300 | 1.14 |
| INDIAN OVERSEAS BANK | 209,601 | 2,09,60,100 | 1.10 |
| UCO BANK | 199,577 | 1,99,57,700 | 1.05 |
| BANK OF MAHARASHTRA | 158,213 | 1,58,21,300 | 0.83 |
| PUNJAB & SIND BANK | 1,21,860 | 1,21,86,000 | 0.64 |
| Public Sector Banks | 4,292,481 | 429,248,100 | 22.53 |
| ICICI BANK LTD | 295,132 | 29,513,200 | 1.55 |
| EXPORT- IMPORT BANK OF INDIA | 169,626 | 16,962,600 | 0.89 |
| THE NEW INDIA ASSURANCE COMPANY LIMITED | 153,798 | 15,379,800 | 0.81 |
| GENERAL INSURANCE CORPORATION OF INDIA | 132,038 | 13,203,800 | 0.69 |
| UNITED INDIA INSURANCE COMPANY LIMITED | 83,938 | 8,393,800 | 0.44 |
| NATIONAL INSURANCE COMPANY LTD | 72,383 | 7,238,300 | 0.39 |
| THE ORIENTAL INSURANCE COMPANY LIMITED | 65,608 | 6,560,800 | 0.34 |
| IFCI LTD | 36,583 | 3,658,300 | 0.19 |
| Financial Institutions / Insurance Companies | 1,009,106 | 100,910,600 | 5.30 |
| TOTAL | 19,048,956 | 1,904,895,600 | 100.00 |

A copy of the Annual Return has been hosted on the website of the Company: www.sbidfhi.com