Form No. MGT-9

EXTRACT OF ANNUAL RETURN

as on the Financial Year ended on 31st March, 2023

[Pursuant to Section 92(3) *of the Companies Act, 2013* and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

CIN	U65910MH1988PLC046447					
Registration Date	08/03/1988					
Name of the Company	SBI DFHI Limited					
Category / Sub-Category of the Company	Public Company					
Address of the Registered Office and contact	Mistry Bhavan, 5 th floor, 122, Dinshaw					
details	Vaccha Road, Churchgate, Mumbai – 400020.					
	Tel. No. (022) 69334415					
Whether listed company	No					
Name, Address and Contact details of	KFin Technologies Private Limited,					
Registrar and Transfer Agents	Selenium, Tower B, Plot No.31-32,					
	Gachibowli, Financial District,					
	Nanakramguda, Hyderabad – 500032.					
	Ph.: 040 - 67161700					

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Primary Dealer in Debt Securities	65910	99.77

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name and Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1.	State Bank of India	N.A	Holding Company	69.04%	As per Section 2 (46) of the Companies Act, 2013

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

(i) Category-wis	e Share Holding								
Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of year				% Chan ge durin g the year
	Demat	Physi cal	Total	% of Total Shares	Demat	Phy sical	Total	% of Total Shares	
A Promoters									
(1) Indian									
a) Individual / HUF	-	-	-	-	-	-	-	-	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	-	-	-	-	-	-	-	-
e) Banks /FI	1,31,52,074	-	1,31,52,074	69.04%	1,31,52,074	-	1,31,52,074	69.04%	-
f) Any Other	-	-	-	-	-	-	-	-	-
Sub-total (A) (1)	1,31,52,074	-	1,31,52,074	69.04%	1,31,52,074	-	1,31,52,074	69.04%	-
(2) Foreign									
(2) Foreign a) NRI Individuals	-	-	-	-	-	_	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e)Any other	-	-	-	-	-	-	-	-	-

Sub-total (A) (2)	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter (A) = (A)(1)+(A)(2)	1,31,52,074	-	1,31,52,074	69.04%	1,31,52,074	-	1,31,52,074	69.04%	-
B. Public Shareholding 1. Institutions	-	-	-	-	-	-	-	-	-
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks /FI	47,93,822	-	47,93,822	25.16%	47,93,822	-	47,93,822	25.16%	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
e) Venture Capital funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	5,07,765	-	5,07,765	2.67%	5,07,765	-	5,07,765	2.67%	-
g) FIIs	=	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (Bodies Corp.)	5,95,295	-	5,95,295	3.13%	5,95,295	-	5,95,295	3.13%	-
Sub-total (B) (1):-	58,96,882	-	58,96,882	30.96%	58,96,882	-	58,96,882	30.96%	-
2. Non- Institutions									
a) Bodies Corp.	-	-	-	-	-	-	-	-	-
i) Indian	=	-	=	=	-	-	-	=	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	_	_	-	_	-	_	_	_	_
i) Individual Shareholders holding nominal share capital upto Rs.1 lakh	-	-	-	-	-	-	-	-	-
ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	-	-	-	-	-	-	-	-	-

c) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B) (2):-	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+ (B)(2)	58,96,882	-	58,96,882	30.96%	58,96,882	-	58,96,882	30.96%	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	1,90,48,956	-	1,90,48,956	100.00	1,90,48,956	-	1,90,48,956	100.00	-

(ii) Sl	hareholding o	f Promoters						
Sl.	Shareholde	Shareholding	at the begin	nning of	Shareholding at the end of the year			
No.	r's Name	t	he year					
				% of				%
			% of	shares		% of		Change
			total	Pledge		total		in
			Shares	d/encu		Shares	% of shares	sharehold
			of the	mbered		of the	Pledged/enc	ing
		No. of	Compan	to total	No. of	Compan	umbered to	during
		Shares	y	shares	Shares	y	total shares	the year
	STATE							
1	BANK OF	1,31,52,074	69.04%	Nil	1,31,52,074	69.04%	Nil	-
	INDIA							
	Total	1,31,52,074	69.04%	Nil	1,31,52,074	69.04%	Nil	-

(iii) ((iii) Change in Promoters Shareholding (Please specify, if there is no change)						
Sl.		Shareholding at the	beginning	Cumulative Shareholding during			
No.		of the year		the year			
	STATE BANK OF INDIA	No. of shares % of total shares of the company		No. of shares	% of total shares of the company		
1	At the beginning of the year	1,31,52,074 69.04%		1,31,52,074	69.04%		
2	Date wise Increase/Decrease in promoters shareholding		Nil	Nil	Nil		

	during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/swea t equity etc)				
3	At the end of the year	1,31,52,074	69.04%	1,31,52,074	69.04%

(iv) S	(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and holders of GDRs						
& AD	PRs):						
Sl.		Shareholding at the	beginning	Cumulative Shareh	olding during		
No.		of the year		the year			
1			% of total		% of total		
		shares of		No. of shares			
	For Each of the Top 10	No. of shares	the	No. of shares	shares of the		
	Shareholders		company		company		
2	At the beginning of the year	As per Shareholding Pattern attached					
3	Date wise Increase/Decrease						
	in shareholding during the						
	year specifying the reasons			TIT			
	for increase (e.g.	NIL					
	allotment/transfer/bonus/swea						
	t equity etc)						
4	At the end of the year (or on	the end of the year (or on					
	the date of separation, if	As per Shareholding Pattern attached					
	separated during the year)						

(v) Sha	v) Shareholding of Directors and Key Managerial Personnel:						
Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year			
1	For Each of the Directors and KMP	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company		
2	At the beginning of the year	NIL	NIL	NIL	NIL		
3	Date wise Increase / Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL		
4	At the end of the year	NIL	NIL	NIL	NIL		

V. INDEBTEDNESS (Rs. in Crores)

Indebtedness of the Company including interest outstanding/accrued but not due for payment:

payment:			_	
	Secured Loans			m . 1
	excluding			Total
	deposits	Unsecured Loans	Deposits	Indebtedness
Indebtedness at				
the beginning				
of the financial				
year				
i) Principal	6,568.39	4,798.11		11,366.50
Amount	0,2 00.27	1,770.11		11,500.50
ii) Interest due				
but not paid				
iii) Interest				
accrued but not	0.68	4.28		4.96
due				
Total (i+ii+iii)	6,569.07	4,802.39		11,371.46
Change in				
Indebtedness	4,557.35	(169.60)		4,387.75
during the	4,557.55	(107.00)		4,367.73
financial year				
Net Change	4,557.35	(169.60)		4,387.75
Indebtedness at				
the end of the				
financial year				
i) Principal	11,123.95	4,624.42		15,748.37
Amount	11,123.93	4,024.42		13,740.37
ii) Interest due				
but not paid				
iii) Interest				
accrued but not	2.47	8.37		10.84
due				
Total (i+ii+iii)	11,126.42	4,632.79		15,759.21

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

	A. Remuneration to Managing Director, Whole-time Directors and/or Manager (in Rupees):					
Sl. No.	Particulars of Remuneration	Name of MD/V	Total Amount (Rs.)			
		K. Sridhar	K. Viswanathan			
		(MD & CEO	(MD& CEO			
		upto 28.6.2022)	from			
			19.07.2022)			
1	Gross Salary					
	(a) Salary as per provisions contained in					
	Section 17(1) of the Income Tax Act,					
	1961	14,73,556	39,48,581	54,22,137		
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	16,82,236	11,09,845	27,92,081		
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-	-		
2	Stock Option	-	-	-		
3	Sweat Equity	-	-	-		
4	Commission		-			
	- as % of Profit	-	-	-		
	- Others, Specify	-	-	-		
5	Others, please specify	-	-	-		
	Total (A)	31,55,792	50,58,426	82,14,218		

B. Remuneration to other Directors:

Sl. No.	Particulars of Remuneration		Name of the Independent Directors				
	Independent Directors	Dr. H.K. Pradhan	Dr.Brinda Jagirdar	Shri S.A. Ramesh Rangan	Shri Jayant Gokhale (upto 25/11/2022)	Shri Pravin Kutumbe (from 12/01/2023)	Total Amount (Rs.)
1	Fees for attending Board & Committee Meetings	5,20,000	5,00,000	4,80,000	4,10,000	1,10,000	20,20,000
2	Commission	Nil	Nil	Nil	Nil	Nil	Nil
3	Others	Nil	Nil	Nil	Nil	Nil	Nil
	Total (1)	5,20,000	5,00,000	4,80,000	4,10,000	1,10,000	20,20,000

Sl. No.	Particulars of Remuneration	Name of Directors			Total Amount (Rs.)
	Other Non-Executive Directors	Shri Ashwini Kumar Tewari (upto 14/07/2022)	Shri Swaminathan Janakiraman (from 26.08.2022)	S.V.Sastry	
	Fees for attending Board & Committee Meetings	Nil	Nil	2,20,000/-	2,20,000/-
	Commission	Nil	Nil	Nil	Nil
	Others	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	2,20,000/-	2,20,000/-

Total (B) = $(1+2)$	22,40,000
Total Managerial Remuneration	22,40,000

Remuneration to Key Managerial Personnel other than MD/Manager/WTD

Sl.	Particulars of	Key Managerial Personnel			
No.	Remuneration				
		Shri Dillip Kumar Mishra	Shri Sunil Kudale	Total	
		EVP & CFO	Company Secretary & CCO		
1	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961	29,05,909	29,66,572	58,72,481	
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	13,97,429	13,17,715	27,15,144	
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-		-	
2	Stock Option	-		-	
3	Sweat Equity	-		-	
4	Commission				
	- as % of Profit	-		-	
	- Others, Specify	-		-	
5	Others, please specify	-		-	
	Total	43,03,338	42,84,287	85,87,625	

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:						
Туре	Section of Companies Act	Brief Description	Details of Penalty/Punishment/ Compounding fees imposed	Authority (RD/NCLT/ COURT)	Appeal made, if any (give Details)	
A. COMPANY						
Penalty	NIL	NIL	NIL	NIL	NIL	
Punishment	NIL	NIL	NIL	NIL	NIL	
Compounding	NIL	NIL	NIL	NIL	NIL	
B. DIRECTORS						
Penalty	NIL	NIL	NIL	NIL	NIL	
Punishment	NIL	NIL	NIL	NIL	NIL	
Compounding	NIL	NIL	NIL	NIL	NIL	
C. OTHER OFFICERS IN DEFAULT						
Penalty	NIL	NIL	NIL	NIL	NIL	
Punishment	NIL	NIL	NIL	NIL	NIL	
Compounding	NIL	NIL	NIL	NIL	NIL	

Shareholding Pattern as on 31/03/2023

Shareholder	Number of Equity Shares	Amount in Rupees	(%)
STATE BANK OF INDIA	13,152,074	1,315,207,400	69.04
SBI CAPITAL MARKETS LIMITED	595,295	59,529,500	3.13
State Bank Group	13,747,369	1,374,736,900	72.17
PUNJAB NATIONAL BANK	978254	97,825,400	5.14
CANARA BANK	6,80,741	6,80,74,100	3.57
CENTRAL BANK OF INDIA	494,150	49,415,000	2.59
BANK OF INDIA	441,970	44,197,000	2.32
BANK OF BARODA	421,039	42,103,900	2.21
INDIAN BANK	3,69,833	3,69,83,300	1.94
UNION BANK OF INDIA	2,17,243	2,17,24,300	1.14
INDIAN OVERSEAS BANK	209,601	2,09,60,100	1.10
UCO BANK	199,577	1,99,57,700	1.05
BANK OF MAHARASHTRA	158,213	1,58,21,300	0.83
PUNJAB & SIND BANK	1,21,860	1,21,86,000	0.64
Public Sector Banks	4,292,481	429,248,100	22.53
ICICI BANK LTD	295,132	29,513,200	1.55
EXPORT- IMPORT BANK OF INDIA	169,626	16,962,600	0.89
THE NEW INDIA ASSURANCE COMPANY LIMITED	153,798	15,379,800	0.81
GENERAL INSURANCE CORPORATION OF INDIA	132,038	13,203,800	0.69
UNITED INDIA INSURANCE COMPANY LIMITED	83,938	8,393,800	0.44
NATIONAL INSURANCE COMPANY LTD	72,383	7,238,300	0.39
THE ORIENTAL INSURANCE COMPANY LIMITED	65,608	6,560,800	0.34
IFCI LTD	36,583	3,658,300	0.19
Financial Institutions / Insurance Companies	1,009,106	100,910,600	5.30
TOTAL	19,048,956	1,904,895,600	100.00

A copy of the Annual Return has been hosted on the website of the Company: www.sbidfhi.com