

Form No. MGT-9

EXTRACT OF ANNUAL RETURN  
as on the Financial Year ended on 31<sup>st</sup> March, 2023

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

CIN	U65910MH1988PLC046447
Registration Date	08/03/1988
Name of the Company	SBI DFHI Limited
Category / Sub-Category of the Company	Public Company
Address of the Registered Office and contact details	Mistry Bhavan, 5 <sup>th</sup> floor, 122, Dinshaw Vaccha Road, Churchgate, Mumbai – 400020. Tel. No. (022) 69334415
Whether listed company	No
Name, Address and Contact details of Registrar and Transfer Agents	KFin Technologies Private Limited, Selenium, Tower B, Plot No.31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500032. Ph.: 040 - 67161700

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Primary Dealer in Debt Securities	65910	99.77





c) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(2):-	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	58,96,882	-	58,96,882	30.96%	58,96,882	-	58,96,882	30.96%	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	1,90,48,956	-	1,90,48,956	100.00%	1,90,48,956	-	1,90,48,956	100.00%	-

(ii) Shareholding of Promoters								
Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of total Shares of the Company	% of shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the Company	% of shares Pledged/encumbered to total shares	% Change in shareholding during the year
1	STATE BANK OF INDIA	1,31,52,074	69.04%	Nil	1,31,52,074	69.04%	Nil	-
	Total	1,31,52,074	69.04%	Nil	1,31,52,074	69.04%	Nil	-

(iii) Change in Promoters Shareholding (Please specify, if there is no change)					
Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	1,31,52,074	69.04%	1,31,52,074	69.04%
2	Date wise Increase/Decrease in promoters shareholding	Nil	Nil	Nil	Nil

	during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/sweat equity etc)				
3	At the end of the year	1,31,52,074	69.04%	1,31,52,074	69.04%

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and holders of GDRs & ADRs):

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	For Each of the Top 10 Shareholders				
2	At the beginning of the year	As per Shareholding Pattern attached			
3	Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/sweat equity etc)	NIL			
4	At the end of the year (or on the date of separation, if separated during the year)	As per Shareholding Pattern attached			

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	For Each of the Directors and KMP				
2	At the beginning of the year	NIL	NIL	NIL	NIL
3	Date wise Increase / Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
4	At the end of the year	NIL	NIL	NIL	NIL

## V. INDEBTEDNESS

(Rs. in Crores)

Indebtedness of the Company including interest outstanding/accrued but not due for payment:				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	6,568.39	4,798.11	--	11,366.50
ii) Interest due but not paid	--	--	--	--
iii) Interest accrued but not due	0.68	4.28	--	4.96
<b>Total (i+ii+iii)</b>	<b>6,569.07</b>	<b>4,802.39</b>	<b>--</b>	<b>11,371.46</b>
Change in Indebtedness during the financial year	4,557.35	(169.60)		4,387.75
<b>Net Change</b>	<b>4,557.35</b>	<b>(169.60)</b>		<b>4,387.75</b>
Indebtedness at the end of the financial year				
i) Principal Amount	11,123.95	4,624.42	--	15,748.37
ii) Interest due but not paid	--	--	--	--
iii) Interest accrued but not due	2.47	8.37	--	10.84
<b>Total (i+ii+iii)</b>	<b>11,126.42</b>	<b>4,632.79</b>	<b>--</b>	<b>15,759.21</b>

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager (in Rupees):				
Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount (Rs.)
		K. Sridhar	K. Viswanathan	
		(MD & CEO upto 28.6.2022 )	(MD& CEO from 19.07.2022)	
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	14,73,556	39,48,581	54,22,137
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	16,82,236	11,09,845	27,92,081
	(c ) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission		-	
	- as % of Profit	-	-	-
	- Others, Specify	-	-	-
5	Others, please specify	-	-	-
	Total (A)	31,55,792	50,58,426	82,14,218

### B. Remuneration to other Directors:

Sl. No.	Particulars of Remuneration	Name of the Independent Directors					Total Amount (Rs.)
	Independent Directors	Dr. H.K. Pradhan	Dr.Brinda Jagirdar	Shri S.A. Ramesh Rangan	Shri Jayant Gokhale (upto 25/11/2022)	Shri Pravin Kutumbe (from 12/01/2023)	
1	Fees for attending Board & Committee Meetings	5,20,000	5,00,000	4,80,000	4,10,000	1,10,000	20,20,000
2	Commission	Nil	Nil	Nil	Nil	Nil	Nil
3	Others	Nil	Nil	Nil	Nil	Nil	Nil
	Total (1)	5,20,000	5,00,000	4,80,000	4,10,000	1,10,000	20,20,000

Sl. No.	Particulars of Remuneration	Name of Directors			Total Amount (Rs.)
	Other Non-Executive Directors	Shri Ashwini Kumar Tewari (upto 14/07/2022)	Shri Swaminathan Janakiraman (from 26.08.2022)	S.V.Sastry	
	Fees for attending Board & Committee Meetings	Nil	Nil	2,20,000/-	2,20,000/-
	Commission	Nil	Nil	Nil	Nil
	Others	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	2,20,000/-	2,20,000/-

	Total (B) = (1+2) Total Managerial Remuneration	22,40,000
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#### Remuneration to Key Managerial Personnel other than MD/Manager/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		
		Shri Dillip Kumar Mishra	Shri Sunil Kudale	Total
		EVP & CFO	Company Secretary & CCO	
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961	29,05,909	29,66,572	58,72,481
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	13,97,429	13,17,715	27,15,144
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-		-
2	Stock Option	-		-
3	Sweat Equity	-		-
4	Commission			
	- as % of Profit	-		-
	- Others, Specify..	-		-
5	Others, please specify	-		-
	Total	43,03,338	42,84,287	85,87,625



VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:					
Type	Section of Companies Act	Brief Description	Details of Penalty/Punishment/ Compounding fees imposed	Authority (RD/NCLT/ COURT)	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL
<b>B. DIRECTORS</b>					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL

**Shareholding Pattern as on 31/03/2023**

<b>Shareholder</b>	<b>Number of Equity Shares</b>	<b>Amount in Rupees</b>	<b>(%)</b>
STATE BANK OF INDIA	13,152,074	1,315,207,400	69.04
SBI CAPITAL MARKETS LIMITED	595,295	59,529,500	3.13
<b>State Bank Group</b>	<b>13,747,369</b>	<b>1,374,736,900</b>	<b>72.17</b>
PUNJAB NATIONAL BANK	978254	97,825,400	5.14
CANARA BANK	6,80,741	6,80,74,100	3.57
CENTRAL BANK OF INDIA	494,150	49,415,000	2.59
BANK OF INDIA	441,970	44,197,000	2.32
BANK OF BARODA	421,039	42,103,900	2.21
INDIAN BANK	3,69,833	3,69,83,300	1.94
UNION BANK OF INDIA	2,17,243	2,17,24,300	1.14
INDIAN OVERSEAS BANK	209,601	2,09,60,100	1.10
UCO BANK	199,577	1,99,57,700	1.05
BANK OF MAHARASHTRA	158,213	1,58,21,300	0.83
PUNJAB & SIND BANK	1,21,860	1,21,86,000	0.64
<b>Public Sector Banks</b>	<b>4,292,481</b>	<b>429,248,100</b>	<b>22.53</b>
ICICI BANK LTD	295,132	29,513,200	1.55
EXPORT- IMPORT BANK OF INDIA	169,626	16,962,600	0.89
THE NEW INDIA ASSURANCE COMPANY LIMITED	153,798	15,379,800	0.81
GENERAL INSURANCE CORPORATION OF INDIA	132,038	13,203,800	0.69
UNITED INDIA INSURANCE COMPANY LIMITED	83,938	8,393,800	0.44
NATIONAL INSURANCE COMPANY LTD	72,383	7,238,300	0.39
THE ORIENTAL INSURANCE COMPANY LIMITED	65,608	6,560,800	0.34
IFCI LTD	36,583	3,658,300	0.19
<b>Financial Institutions / Insurance Companies</b>	<b>1,009,106</b>	<b>100,910,600</b>	<b>5.30</b>
<b>TOTAL</b>	<b>19,048,956</b>	<b>1,904,895,600</b>	<b>100.00</b>

A copy of the Annual Return has been hosted on the website of the Company: [www.sbidfhi.com](http://www.sbidfhi.com)