**APPLICATION FOR SUBMISSION OF NON-COMPETITIVE BIDS IN THE**

**PRIMARY AUCTIONS OF CENTRAL / STATE GOVERNMENT SECURITIES**

To

The Managing Director & C.E.O,

SBI DFHI Limited,

Voltas House, 23, J N Heredia Marg,

MUMBAI

**BID/S FOR THE AUCTION TO BE HELD ON\_\_\_\_\_\_\_\_\_\_\_\_\_**

I/We the undersigned request you to please bid in the above auction on our behalf as per the following particulars:

**I**

**Bid Particulars**

Date of Auction :

Nomenclature of the security :

Face Value Required :

**II**

**Profile of the Applicant**

1. Name & Address :

 (In capital letters)

2. Telephone/Fax Nos. :

3. E mail address :

4. Status :

 (Individual/ HUF/ Firm/ Trust/ Company/ Provident Fund/ Corporate Body/ RRB/ UCB/ NBFC/ Others)

5. Contact Persons :

M.D & CEO :

Senior Manager :

\_\_\_\_\_\_\_\_\_\_\_\_\_ :

\_\_\_\_\_\_\_\_\_\_\_\_\_ :

6. Pernament Account No :

**III**

**Bank A/c Particulars**

1. Details of the Bank Accounts :

 Name of the Bank :

 Branch :

 Type of Account :

 Account No. :

2. Details of RBI A/c if any :

 DAD a/c No. & RBI Centre

 SGL a/c No. & RBI Centre

3. Details of Constituent a/c., if any

 Name of the PD/Bank :

 Branch :

 CSGL a/c No. :

4. Details of the Demat a/c

 Name of the Depository :

 Name of the D.P. :

 Branch :

 D P I D No. :

 Customer I D No. :

 Account No. :

**IV**

**Mode of Settlement**

1. Payment Particulars :

 Instrument Type

 ( RBI cheque/Bankers' Cheque/

 Demand Draft/Call money adj)

 Instrument No.

 Instrument Date

 Amount

 Drawn on Bank & Branch

2. Mode of Delivery of Security :

 (Physical scrips or SGL or CSGL a/c)

3. Service Charges to be included in the Sale Price or paid separately :

I/We hereby undertake and confirm:

1. To abide by the terms and conditions of the Reserve Bank of India's Scheme for Non-Competitive Bidding facility in the government securities auctions
2. To abide by the terms and conditions of the SBIDFHI Limited
3. I/We confirm that this is the sole bid submitted by us for acquiring this particular security in this specific auction and we have not submitted any other bid through any other bank or primary dealer.
4. I/We hereby confirm that I/We are eligible to participate in the said auction and I/We are not prohibited to do so by any competent/regulatory authority.
5. I/We are agreeable to pay commission at the rate of \_\_\_\_\_\_ paise per Rs.100/- face value.
6. I/We undertake to make good the difference in the amount payable towards the cost of the security arising due to premium or accrued interest or service charges on the very first day of the issue of the security.
7. I/We undertake to co-operate by executing and/or furnishing the required documents for the purpose of settlement of the said transaction.
8. I/We are authorised to bid or deal in government securities and I/We are authorized to execute the necessary documents on behalf of our institution/firm.
9. I/We undertake to indemnify the SBIDFHI Limited in case of any loss or damage arising out of this particular transaction.
10. I/We confirm that the information submitted by me/us herein above is true and correct and binding on me/us.
11. In case for any reason the applicant fails to honour the commitment/s as per this application, the SBIDFHI Limited will reserve the right to appropriate or dispose of the securities in any manner deemed fit by them in consultation with RBI or otherwise and refund the advance made by the applicant after adjusting loss or shortfall, therefrom.

Date:

Place:

Signature(s)

(With office stamp)