

Form No. MGT-9

EXTRACT OF ANNUAL RETURN
as on the Financial Year ended on 31st March, 2018

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

CIN	U65910MH1988PLC046447
Registration Date	08/03/1988
Name of the Company	SBI DFHI Limited
Category / Sub-Category of the Company	Public Company
Address of the Registered Office and contact details	Voltas House, 3 rd Floor, 23, J N Heredia Marg, Ballard Estate, Mumbai – 400001. Tel. No. (022) 22625970
Whether listed company	No
Name, Address and Contact details of Registrar and Transfer Agents	Karvy Computershare Pvt. Ltd. Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032. Ph.: 040 - 67161602

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Primary Dealer in Government Securities	65910	99.99935

nominal share capital in excess of Rs.1 lakh									
c) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B) (2):-	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	67,54,138	-	67,54,138	30.96%	58,96,882	-	58,96,882	30.96%	(12.69%)
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grant Total (A+B+C)	2,18,18,186	-	2,18,18,186	100.00%	1,90,48,956	-	1,90,48,956	100.00%	(12.69%)

(ii) Shareholding of Promoters								
Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of Shares	% of total Shares of the Company	% of shares Pledge d/encumbered to total shares	No. of Shares	% of total Shares of the Company	% of shares Pledged/encumbered to total shares	% Change in shareholding during the year
1	STATE BANK OF INDIA	1,50,64,048	69.04%	Nil	1,31,52,074	69.04%	Nil	(12.69%)
	Total	1,50,64,048	69.04%	Nil	1,31,52,074	69.04%	Nil	(12.69%)

(iii) Change in Promoters Shareholding (Please specify, if there is no change)					
Sr. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	1,50,64,048	69.04%	1,50,64,048	69.04%

2	Date wise Increase/Decrease in promoters shareholding during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/sweat equity etc)	Buyback of shares on 28/09/2017: 19,11,974 shares	8.76%	Buyback of shares on 28/09/2017: 19,11,974 shares	8.76%
3	At the end of the year	1,31,52,074	69.04%	1,31,52,074	69.04%

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and holders of GDRs & ADRs):

Sr. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	For Each of the Top 10 Shareholders				
2	At the beginning of the year	As per Shareholding Pattern attached			
3	Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment/transfer/bonus/sweat equity etc)	As per Shareholding Pattern attached			
4	At the end of the year (or on the date of separation, if separated during the year)	As per Shareholding Pattern attached			

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	For Each of the Directors and KMP				
2	At the beginning of the year	NIL	NIL	NIL	NIL
3	Date wise Increase / Decrease in shareholding during the year specifying the reasons for increase (e.g. allotment / transfer / bonus / sweat equity etc.)	NIL	NIL	NIL	NIL
4	At the end of the year	NIL	NIL	NIL	NIL

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment:				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	---	---	---	---
ii) Interest due but not paid	---	---	---	---
iii) Interest accrued but not due	---	---	---	---
Total (i+ii+iii)	---	---	---	---
Change in Indebtedness during the financial year	---	---	---	---
Net Change	---	---	---	---
Indebtedness at the end of the financial year	---	---	---	---
i) Principal Amount	---	---	---	---
ii) Interest due but not paid	---	---	---	---
iii) Interest accrued but not due	---	---	---	---
Total (i+ii+iii)	---	---	---	---

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager (in Rupees):				
Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Total Amount (Rs.)
		MD & CEO	MD & CEO	
		A. N. Appaiah (up to 31-10-2017)	S. V. Sastry (from 01-11-2017)	
1	Gross Salary			
	(a) Salary as per provisions	17,73,374	12,95,643	30,69,017

	contained in Section 17(1) of the Income Tax Act, 1961			
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	18,28,194	16,36,907	34,65,101
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of Profit	-	-	-
	- Others, Specify	-	-	-
5	Others, please specify	-	-	-
	Total (A)	36,01,568	29,32,550	65,34,118
	Ceiling as per the Act	N.A.	-	-

B. Remuneration to other Directors:

Sr. No.	Particulars of Remuneration	Name of Directors					Total Amount (Rs.)
	Independent Directors	N. Chandrasekaran	N. Raja	Smeeta Bhatkal	Dr. Hemanta Kumar Pradhan	CA. C. M. Dixit	
	Fees for attending Board & Committee Meetings	2,10,000	3,05,000	2,90,000	1,95,000	2,65,000	12,65,000
	Commission	Nil	Nil	Nil	Nil	Nil	Nil
	Others	Nil	Nil	Nil	Nil	Nil	Nil
	Total (1)	2,10,000	3,05,000	2,90,000	1,95,000	2,65,000	12,65,000

Sr. No.	Particulars of Remuneration	Name of Directors			Total Amount (Rs.)
	Other Non-Executive Directors	Arundhati Bhattacharya	Dinesh Kumar Khara	Sriram Balasubramanyam	
	Fees for attending Board & Committee Meetings	Nil	Nil	Nil	Nil
	Commission	Nil	Nil	Nil	Nil
	Others	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil	Nil

Total (B) = (1+2)	12,65,000
Total Managerial Remuneration Overall ceiling as per the Act	

Remuneration to Key Managerial Personnel other than MD/Manager/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total
		CFO	Company Secretary		
		Moni N. S. S.	Venkataraman S. (up to 27/06/2017)	Sham K. (from 28/06/2017)	
1	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of Income Tax Act, 1961	20,99,462	4,06,011	11,83,874	36,89,347
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	14,54,903	15,234	3,44,472	18,14,609
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	- as % of Profit	-	-	-	-
	- Others, Specify..	-	-	-	-
5	Others, please specify	-	-	-	-
	Total	35,54,365	4,21,245	15,28,346	55,03,956

VII. PENALTIES/PUNISHMENT/ COMPOUNDING OF OFFENCES:					
Type	Section of Companies Act	Brief Description	Details of Penalty/Punishment/ Compounding fees imposed	Authority (RD/NCLT/ COURT)	Appeal made, if any (give Details)
A. COMPANY					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL
B. DIRECTORS					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL
C. OTHER OFFICERS IN DEFAULT					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment	NIL	NIL	NIL	NIL	NIL
Compounding	NIL	NIL	NIL	NIL	NIL

Shareholding Pattern as on 01/04/2017 and as on 31/03/2018

Holder	01/04/2017			Buyback of Shares 28/09/2017	31/03/2018		
	Number	Amt in Rs.	(%)		Number	Amt in Rs.	(%)
STATE BANK OF INDIA	15,064,048	1,506,404,800	69.04	1,911,974	13,152,074	1,315,207,400	69.04
SBI CAPITAL MARKETS LIMITED	681,818	68,181,800	3.13	86,523	595,295	59,529,500	3.13
State Bank Group	15,745,866	1,574,586,600	72.17		13,747,369	1,374,736,900	72.17
PUNJAB NATIONAL BANK	918,735	91,873,500	4.21	116,609	802,126	80,212,600	4.21
CENTRAL BANK OF INDIA	575,123	57,512,300	2.64	80,973	494,150	49,415,000	2.59
BANK OF INDIA	506,220	50,622,000	2.32	64,250	441,970	44,197,000	2.32
CANARA BANK	489,848	48,984,800	2.25	106,475	383,373	38,337,300	2.01
BANK OF BARODA	441,345	44,134,500	2.02	95,932	345,413	34,541,300	1.81
SYNDICATE BANK	297,368	29,736,800	1.36	-	297,368	29,736,800	1.56
INDIAN OVERSEAS BANK	267,814	26,781,400	1.23	58,213	209,601	20,960,100	1.10
INDIAN BANK	227,595	22,759,500	1.04	-	227,595	22,759,500	1.20
UCO BANK	199,577	19,957,700	0.91	-	199,577	19,957,700	1.05
UNITED BANK OF INDIA	176,128	17,612,800	0.81	-	176,128	17,612,800	0.92
ALLAHABAD BANK	165,330	16,533,000	0.76	23,092	142,238	14,223,800	0.75
BANK OF MAHARASHTRA	158,213	15,821,300	0.73	-	158,213	15,821,300	0.83
ANDHRA BANK	148,800	14,880,000	0.68	-	148,800	14,880,000	0.78
PUNJAB AND SIND BANK	121,860	12,186,000	0.56	-	121,860	12,186,000	0.64
VIJAYA BANK	96,630	9,663,000	0.44	21,004	75,626	7,562,600	0.40
CORPORATION BANK	78,390	7,839,000	0.36	9,947	68,443	6,844,300	0.36
Public Sector Banks	4,868,976	486,897,600	22.32		4,292,481	429,248,100	22.53
ICICI BANK LTD	377,100	37,710,000	1.73	81,968	295,132	29,513,200	1.55
EXPORT- IMPORT BANK OF INDIA	216,737	21,673,700	0.99	47,111	169,626	16,962,600	0.89
THE NEW INDIA ASSURANCE COMPANY LIMITED	175,950	17,595,000	0.81	22,152	153,798	15,379,800	0.81
GENERAL INSURANCE CORPORATION OF INDIA	132,038	13,203,800	0.61	-	132,038	13,203,800	0.69
UNITED INDIA INSURANCE COMPANY LIMITED	107,250	10,725,000	0.49	23,312	83,938	8,393,800	0.44
THE ORIENTAL INSURANCE COMPANY LIMITED	75,143	7,514,300	0.34	9,535	65,608	6,560,800	0.34
NATIONAL INSURANCE COMPANY LTD	72,383	7,238,300	0.33	-	72,383	7,238,300	0.39
IFCI LTD	46,743	4,674,300	0.21	10,160	36,583	3,658,300	0.19
Financial Institutions / Insurance Companies	1,203,344	120,334,400	5.51		1,009,106	100,910,600	5.30
TOTAL	21,818,186	2,181,818,600	100.00	2,769,230	19,048,956	1,904,895,600	100.00

A copy of the Annual Return has been hosted on the website of the Company: www.sbidfhi.com